

MEGL - SP24

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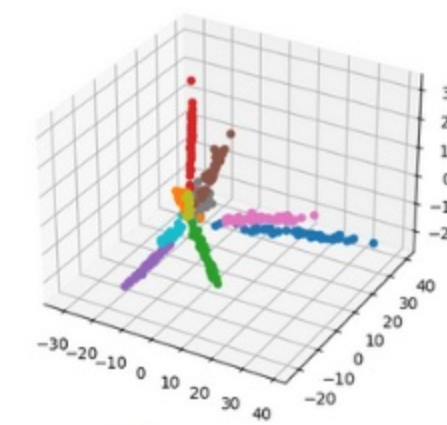
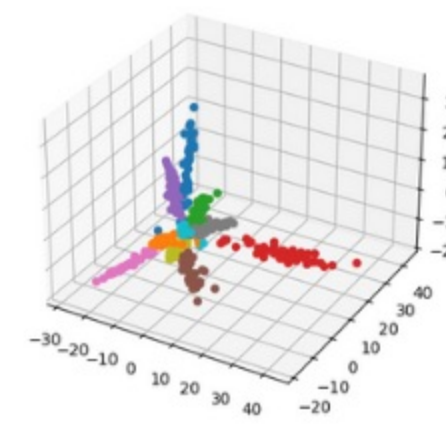
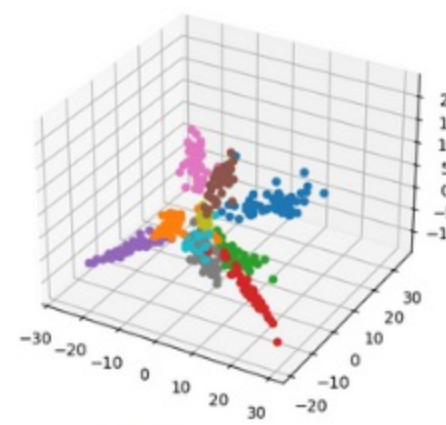
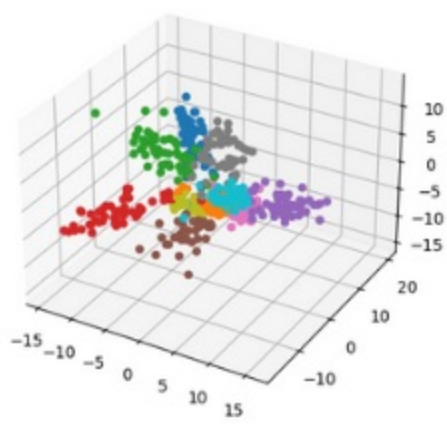
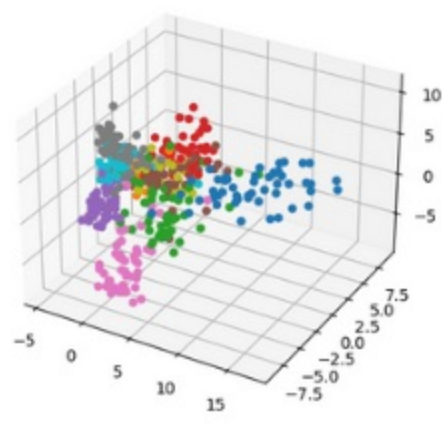
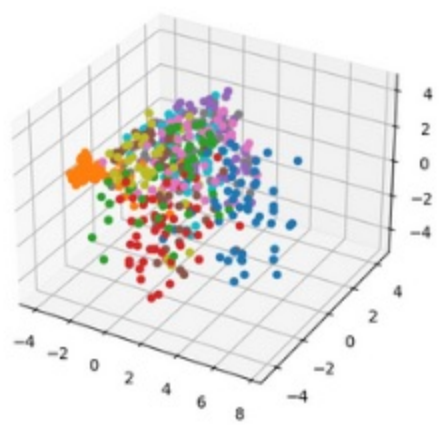
# THE TOPOLOGY OF NEURAL NETWORKS

December 6th 2024

UNDER THE DIRECTION OF DR. SCHWEINHART  
GRADUATE MENTOR: S POTHAGONI


# RESEARCH TOPIC

The premise of our research is to study how neural networks change the data passed through them using tools from topological data analysis.



The background is a dark blue gradient with several glowing spheres in shades of blue and purple. A thin white line connects two of the spheres in the upper right quadrant. The text is centered and consists of two lines: the first line is in white and the second line is in a bright blue color.

# WHAT ARE NEURAL NETWORKS ?



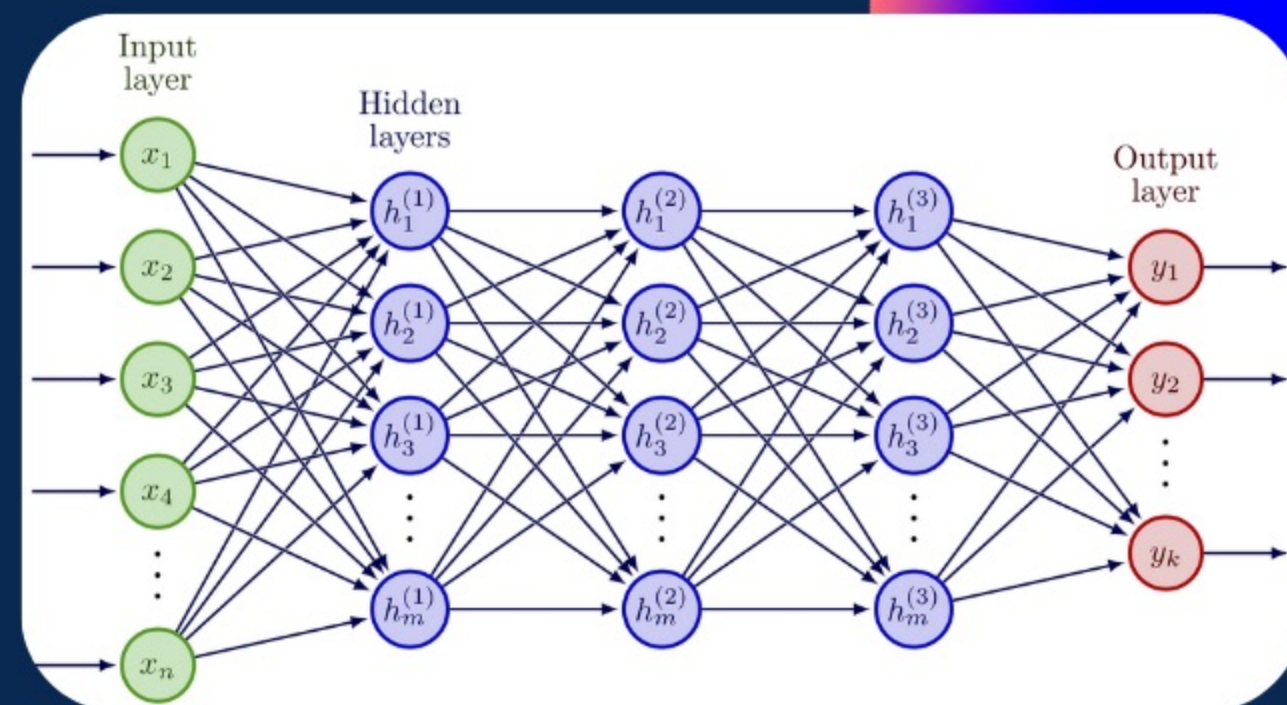
**BUT WHAT IS A NN  
*MATHEMATICALLY*  
DOING?**

# MATHEMATICALLY,

- Inputs and outputs are vectors
- Architecture is a composition of affine and non-linear transformations
- $g(x)$  is a regression function used for classification

$$a(x) := \frac{1}{1 + e^{-x}} \quad a(x) := \tanh(x)$$
$$a(x) := \mathbf{max}(0, x)$$

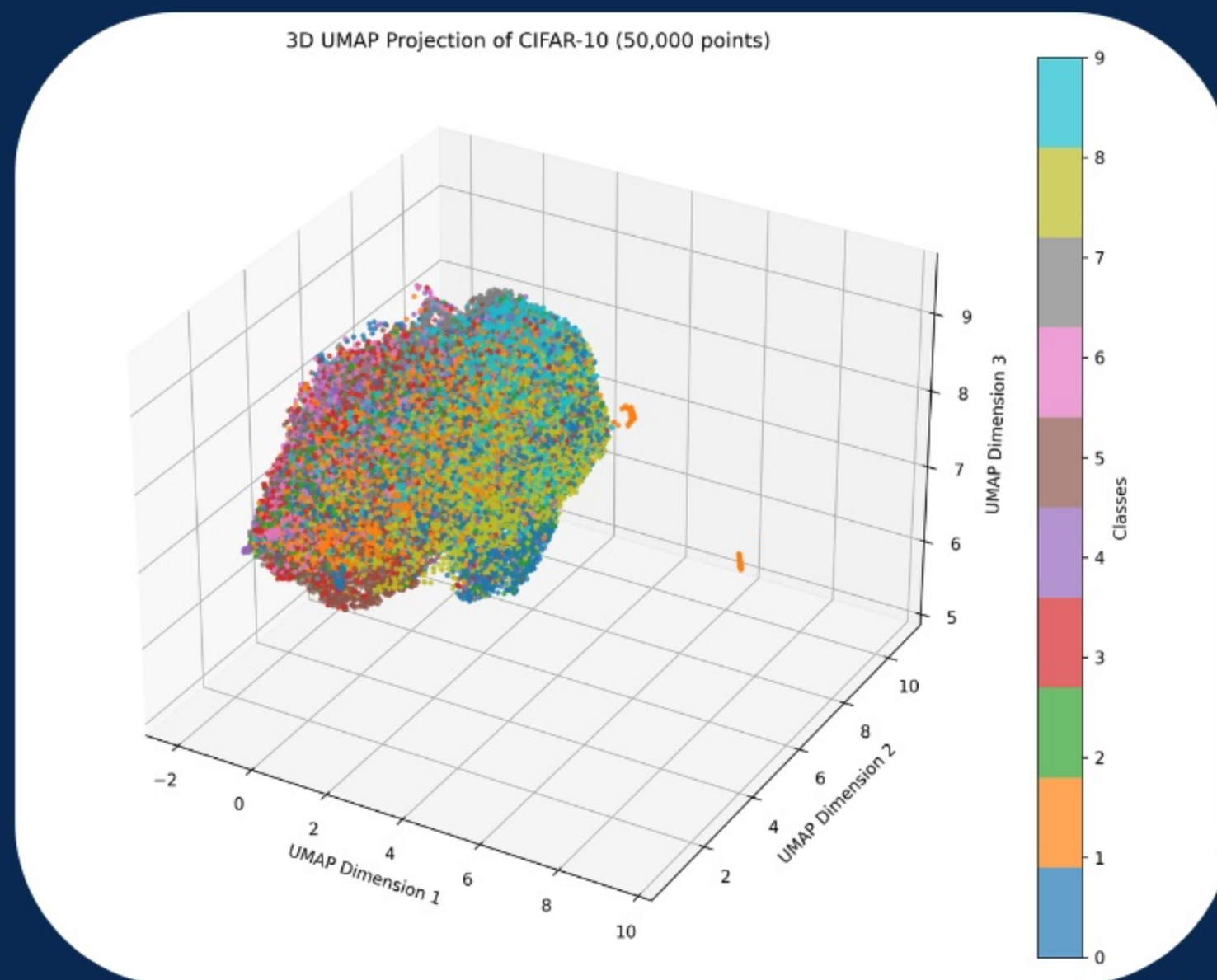
$$g \circ f_n \circ f_{n-1} \circ \dots \circ f_1(x)$$



$$f_i(x) = a(W_i x + b_i)$$

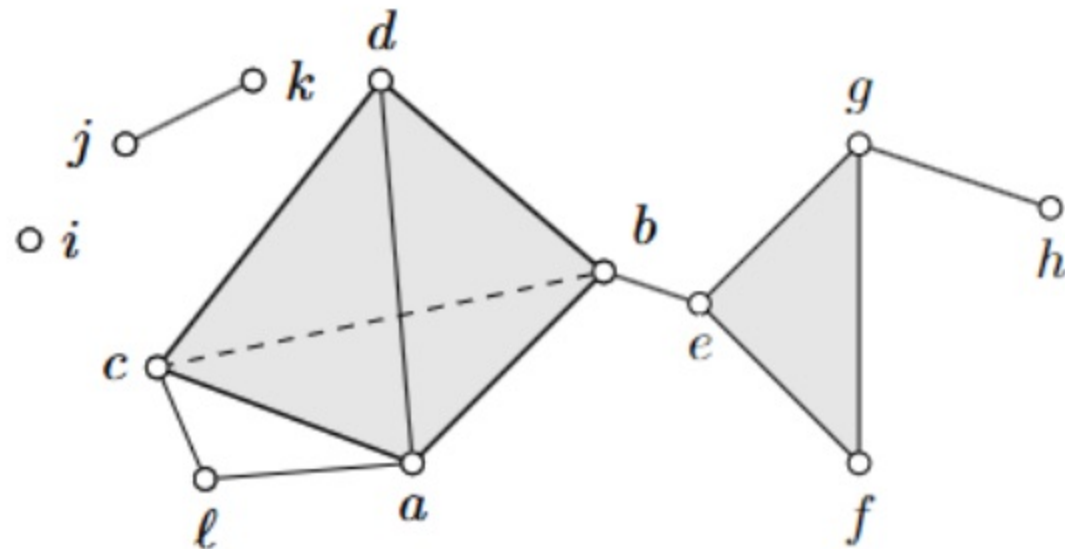
# TOPOLOGICAL DATA ANALYSIS

- “Data has shape,” and shape has meaning. [1]
- Is it possible to measure the complexity of the manifold?



# SIMPLICIAL COMPLEX

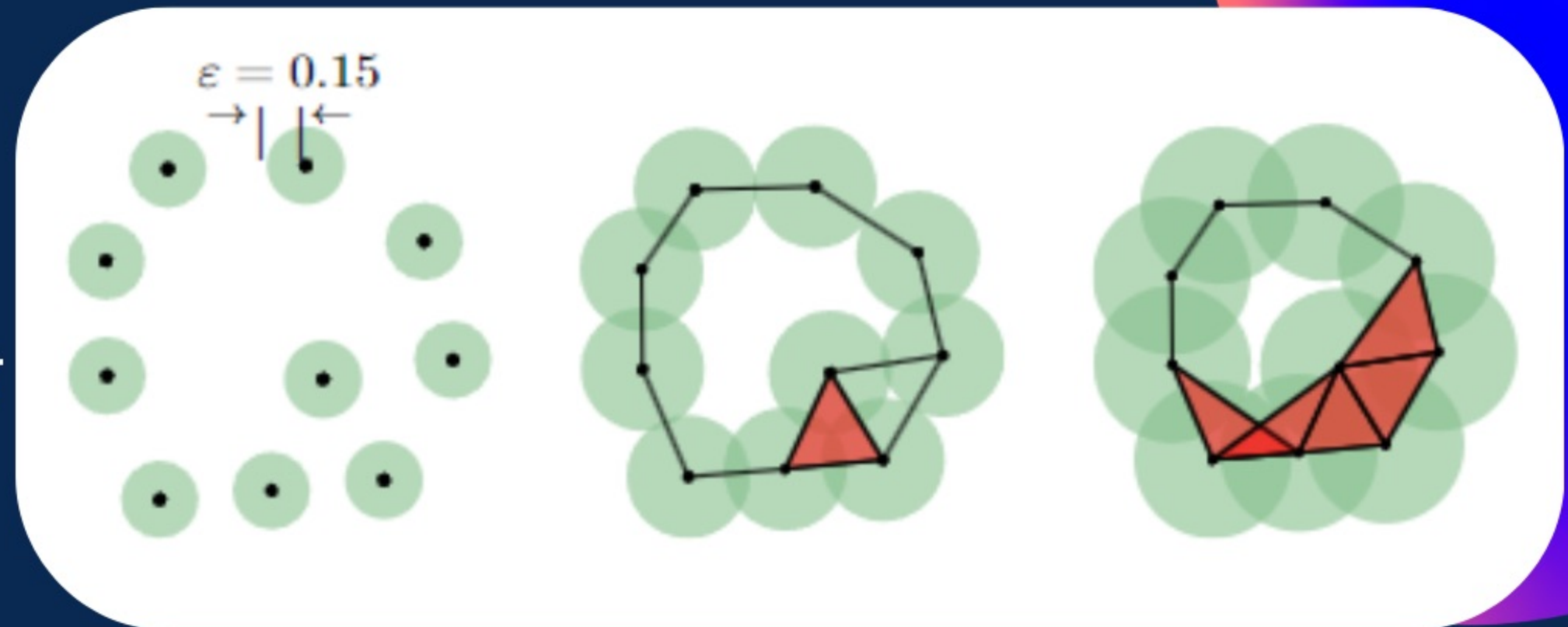
- How to create a manifold from point cloud datasets?
- Create a set containing the n-dimensional connections between points to triangulate the data manifold



# PERSISTENT HOMOLOGY

## RIPS COMPLEX

- Allows us to create simplicial complexes from a point cloud with a growing parameter  $\epsilon$ .
- As  $\epsilon$  grows, the structure of our data transforms and certain features *persists* longer than other features.
  - We call this process *filtration*

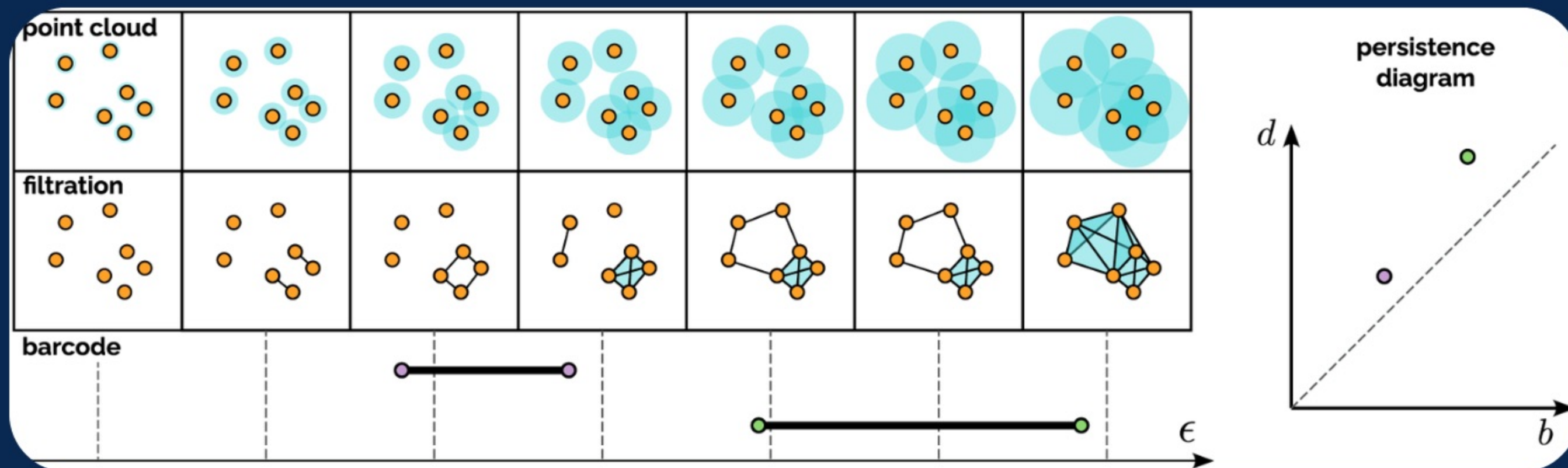




# PERSISTENT HOMOLOGY

## PERSISTENT DIAGRAMS

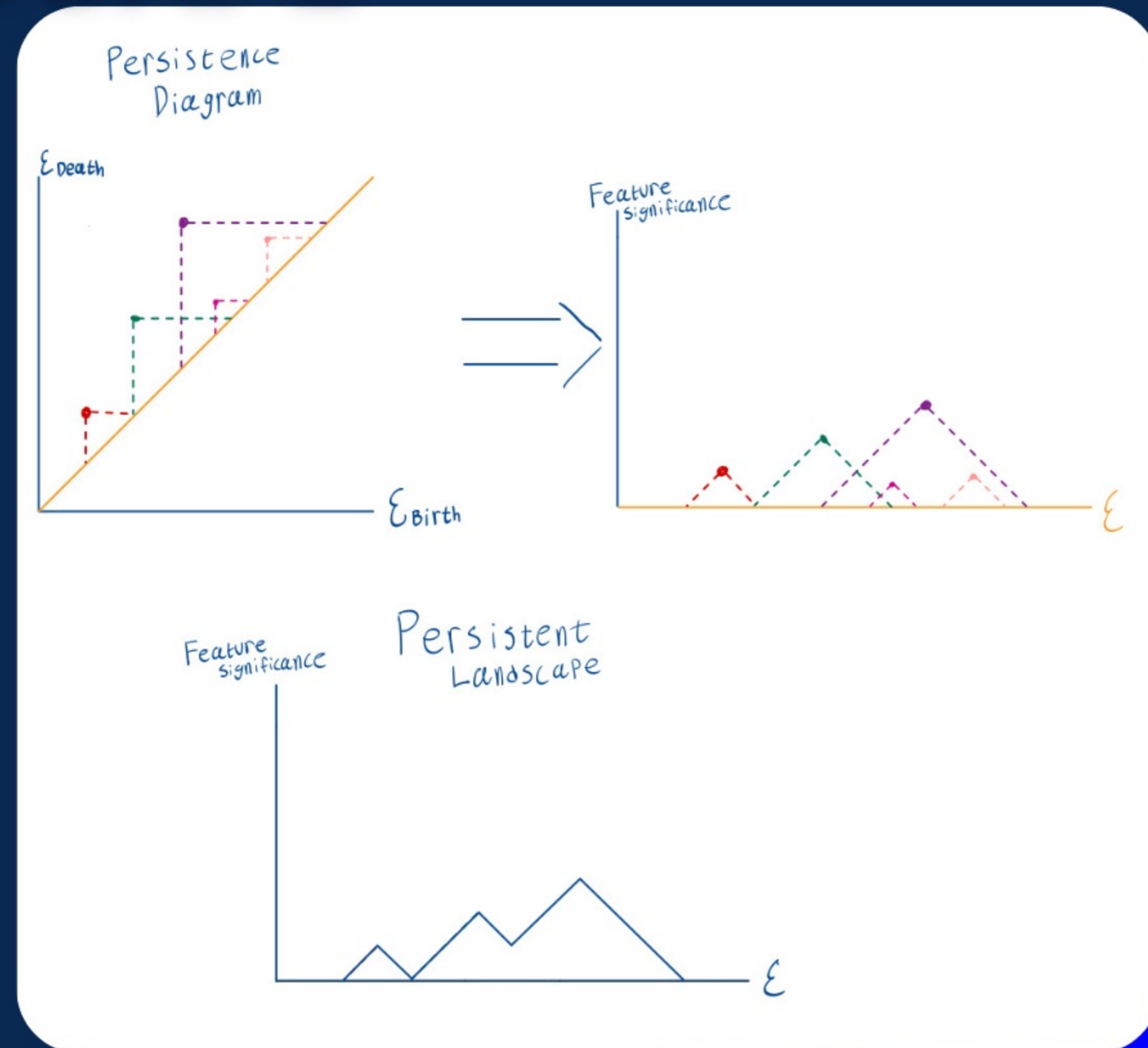
- Most of the time, we're dealing with hundreds to up to thousands of data points. So we use *Persistent Diagrams* [3] to easily condense, visualize, and analyze our data.
- Maps time of birth and death of topological features
  - Features give insight into shape characteristics of the data



# PERSISTENT HOMOLOGY

## PERSISTENT LANDSCAPE

- We can then transform our persistent diagram into a *persistent landscape*
- This process also *vectorizes* our data by mapping to a *Function Space*.
- We can now use Linear Algebra to study the topology of the data.



# ACTIVATION LANDSCAPES

- By calculating the topological complexity, we can interpret the structure of our data
- To do this, we convert the persistence diagram into a function called an activation landscape
- The norm of this function is the TC [2]

Persistence  
Diagram for the  
 $k^{th}$ -homology

$Dgm_k$

$\lambda(t)$

$\lambda_k(t)$

Connect the  
dots with lines  
and remove the  
lower ones to  
make it a  
function

Repeat this process with all  
of the homologies for the  
Activation Landscape curve

We can define the TC of a dataset as  
the norm of this function

$$\|\lambda(t)\|_{L^2}^2 = \int_0^\infty (\lambda(t))^2 dt$$

# TOPOLOGICAL COMPLEXITY

- We start by creating a new function which plots the TC of our dataset at each layer then normalizing the domain to be the unit interval (0,1).
- This measures how the topological complexity of the data changes over the whole NN.

Neural  
Network

$$g \circ f_k \circ f_{k-1} \circ \dots \circ f_2 \circ f_1(x)$$

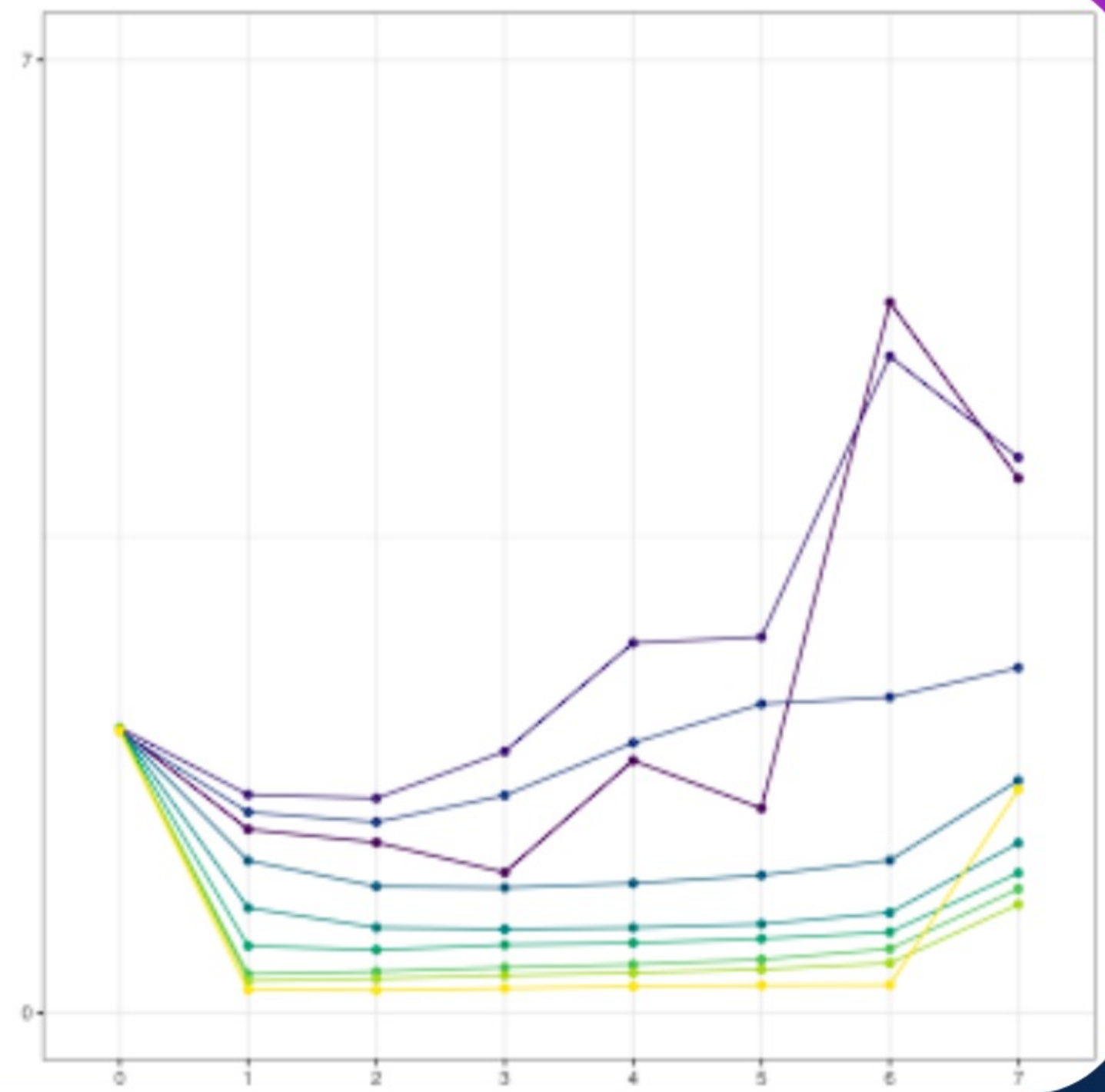
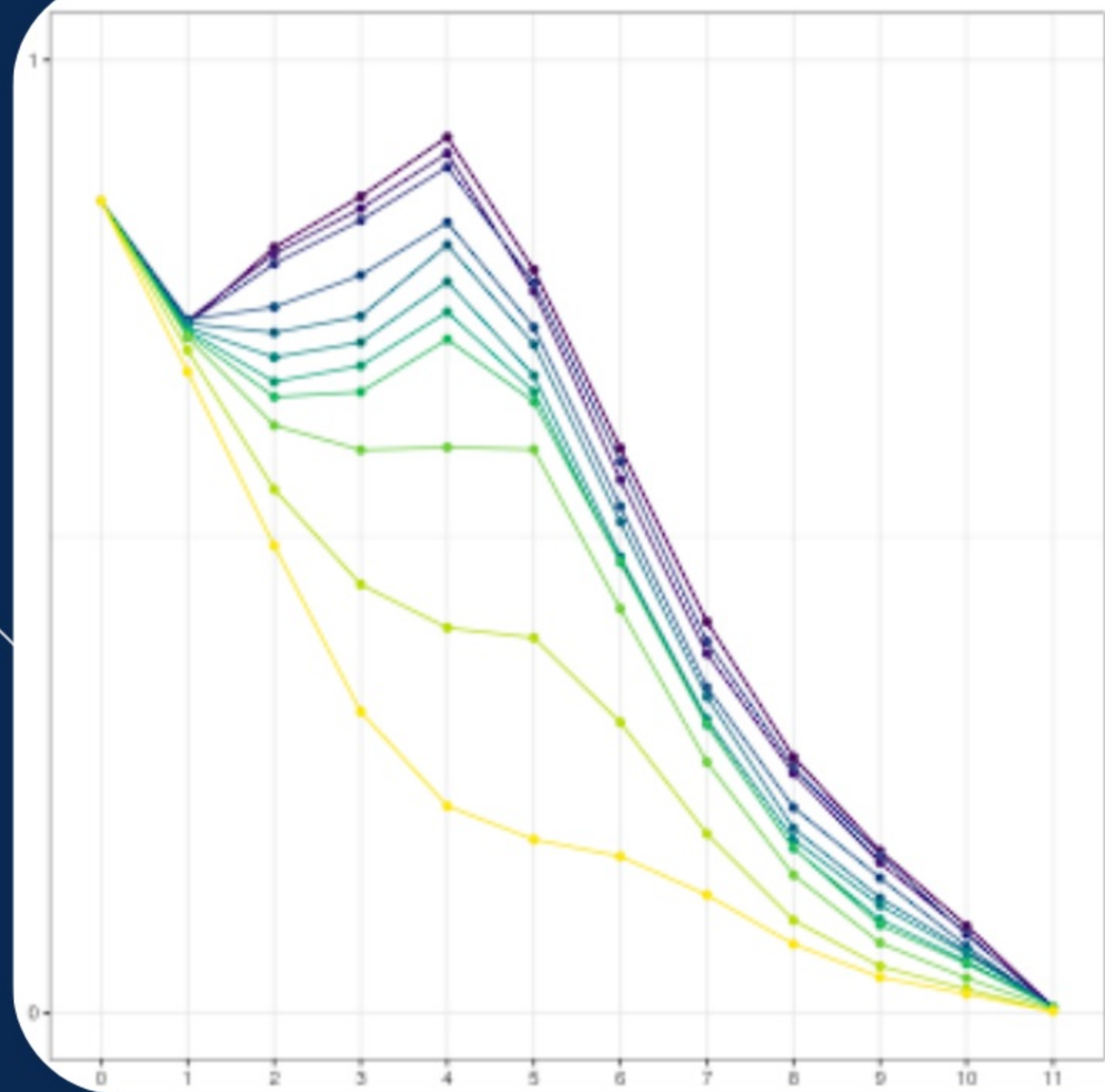


$TC_i := \|\lambda_i(t)\|_{L^2}$   
TC of dataset at  
the  $i$ th layer connected  
with lines



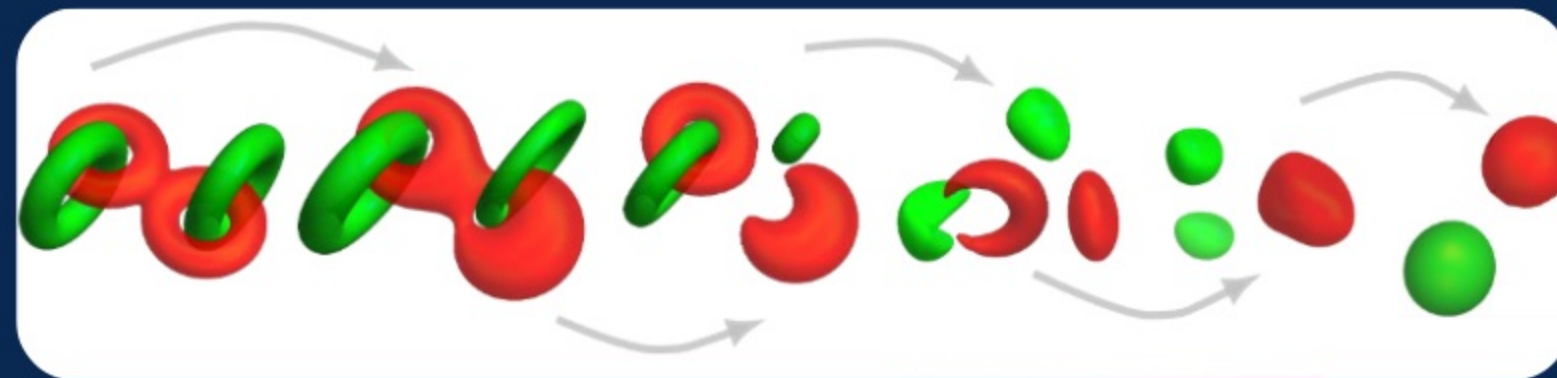
Norm of this function  
normalized to the unit  
interval (0,1)

$$\|\hat{T}(t)\|_{L^2} = \sqrt{\int_0^{\infty} (\hat{T}(t))^2 dt}$$



AVERAGE NORMS OF THE ACTIVATION LANDSCAPES USING A LARGER NUMBER OF TRAINING ACCURACY THRESHOLDS WITH CONSECUTIVE LAYERS CONNECTED BY LINE SEGMENTS [2]

# CURRENT CONJECTURE



Let  $\mathcal{D} = \{v_i\}_{i=1}^n$  with  $v_i \in \mathbb{R}^d$ , and let  $N(x) = g \circ f_N \circ \cdots \circ f_1(x)$  represent an  $N$ -layer fully trained neural network.

**Notation:** The  $k$ -th activation layer of the network is denoted as  $N^{(k)}(x) = f_k \circ \cdots \circ f_1(x)$  for  $k < N$ . Starting from an initialized model  $N_0(x)$  at epoch  $t = 0$ , we say the model is trained when  $N_t(x) \rightarrow N(x)$  as  $t \rightarrow T$ , where  $T$  is the total number of training epochs.

**Conjecture:** Let  $\mathcal{D}_t^{(k)}$  denote the transformed data up to the  $k$ -th layer after  $t$  training epochs, and let  $\text{TC}_t^{(k,p)}$  represent the  $p$ -th dimensional topological complexity of  $\mathcal{D}_t^{(k)}$ . Then for some  $K < N$ , if  $N_t(x) \rightarrow N(x)$ , there exists a training epoch  $t_i$  such that

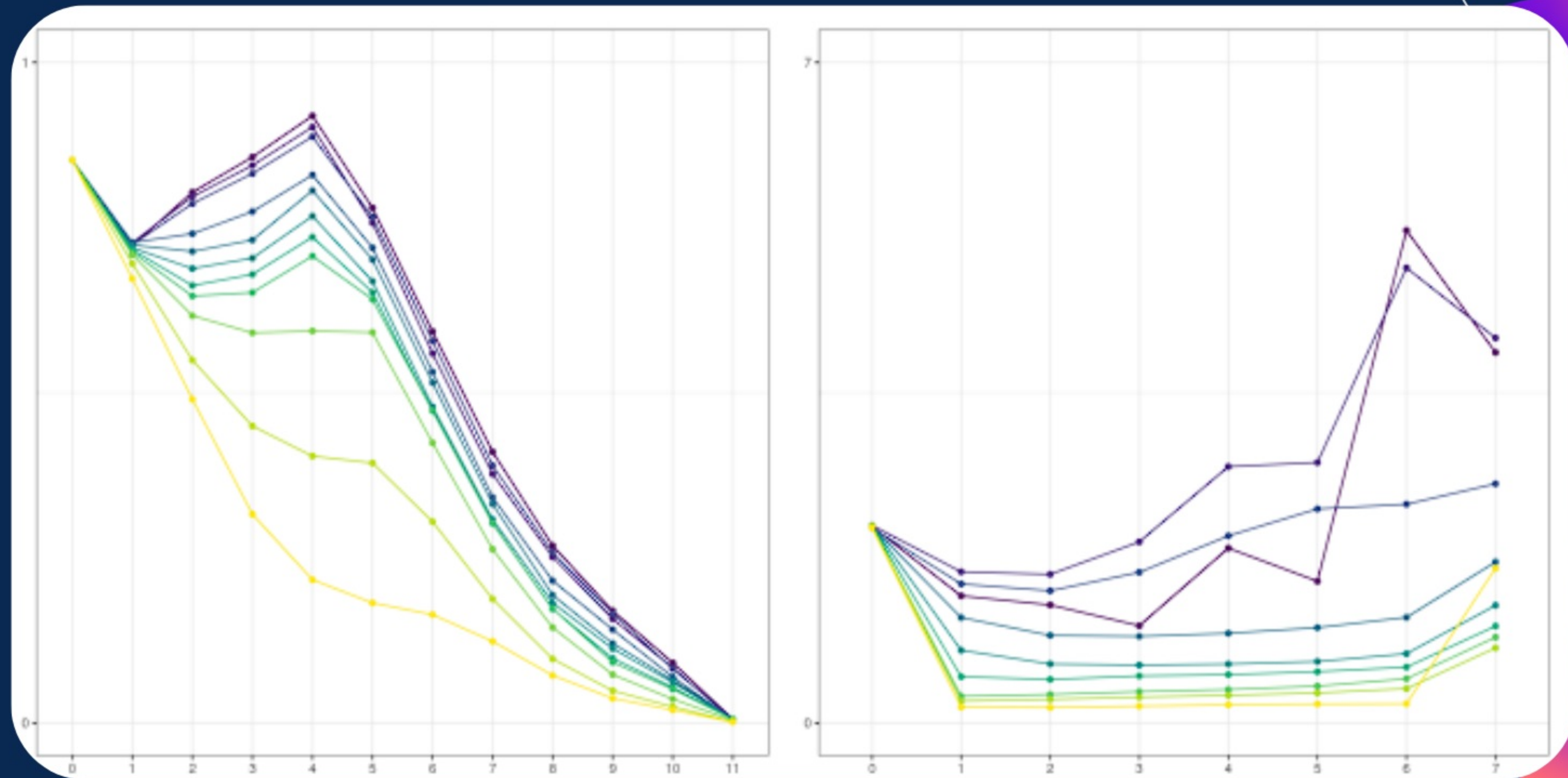
$$\text{TC}_{t_i}^{(k,0)} > \text{TC}_{t_i}^{(k,p)} \quad \text{for all } p > 0$$

and for some  $K \leq k \leq N$ .

The background features a dark blue gradient with large, overlapping circular shapes in shades of blue and purple. Two white, irregular outlines are positioned in the upper left and lower right corners.

# EXPERIMENTS

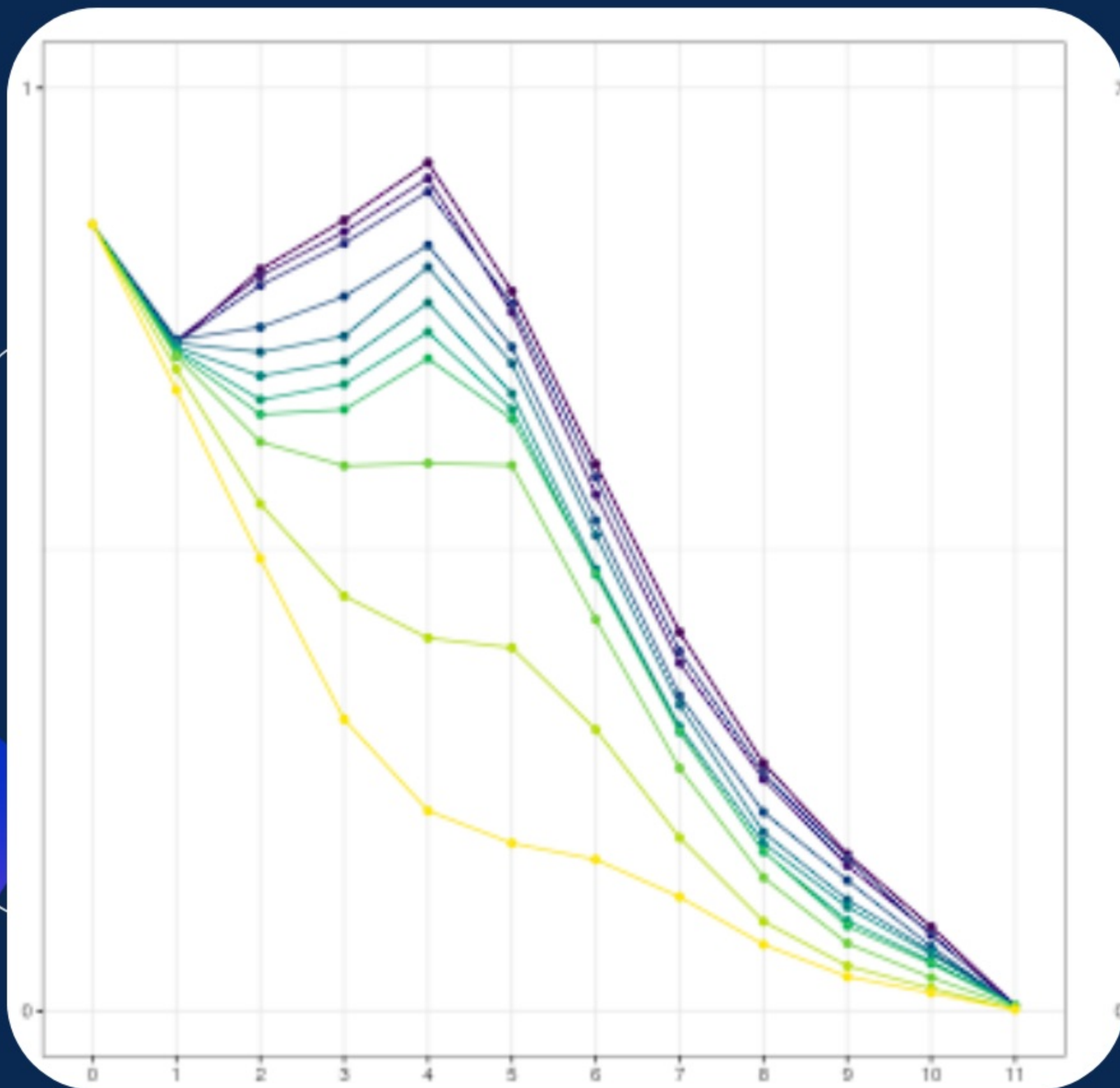
# MOTIVATION BEHIND EXPERIMENT



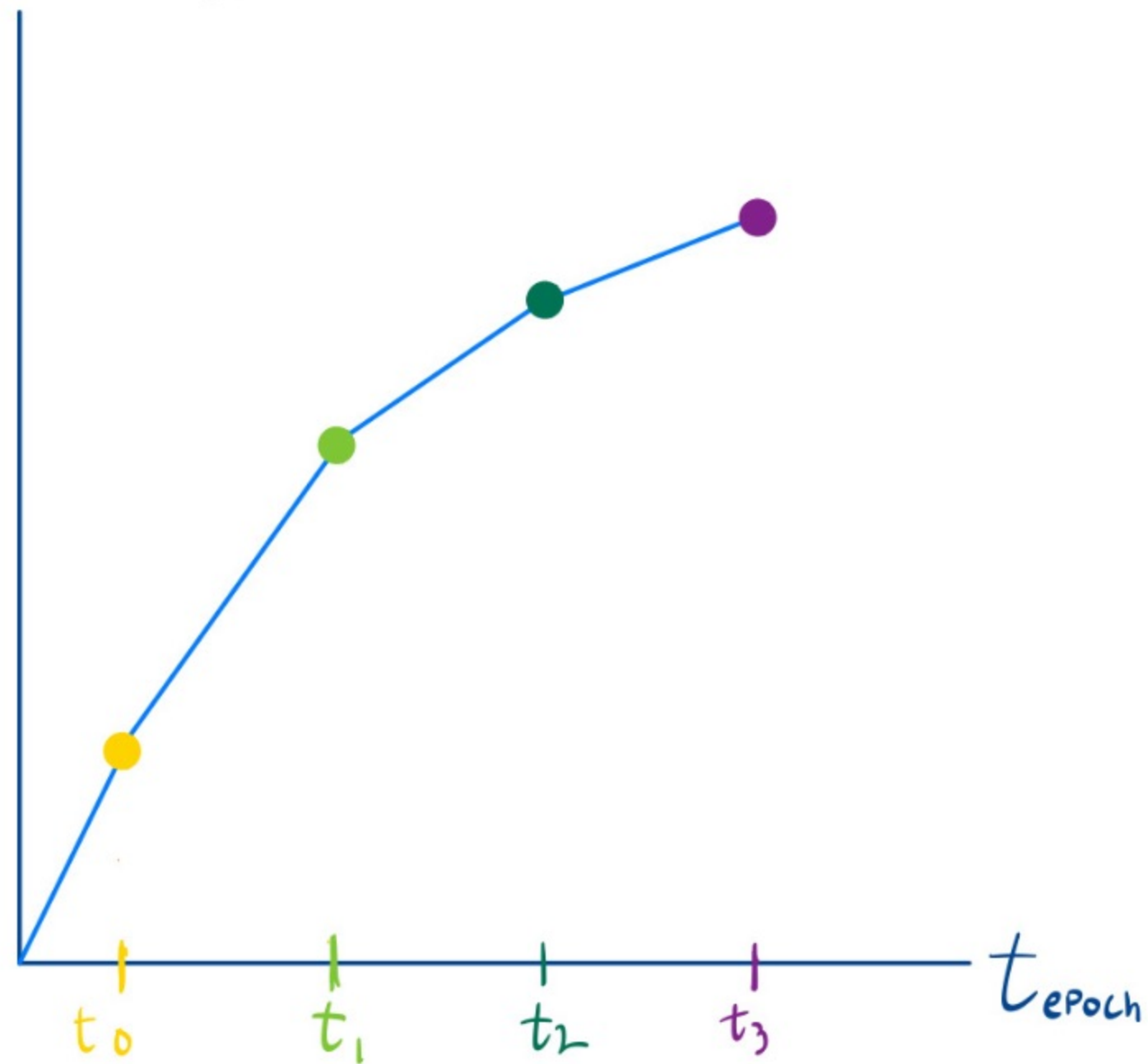
AVERAGE NORMS OF THE ACTIVATION LANDSCAPES USING A LARGER NUMBER OF TRAINING ACCURACY THRESHOLDS WITH CONSECUTIVE LAYERS CONNECTED BY LINE SEGMENTS [2]



# MOTIVATION BEHIND EXPERIMENT



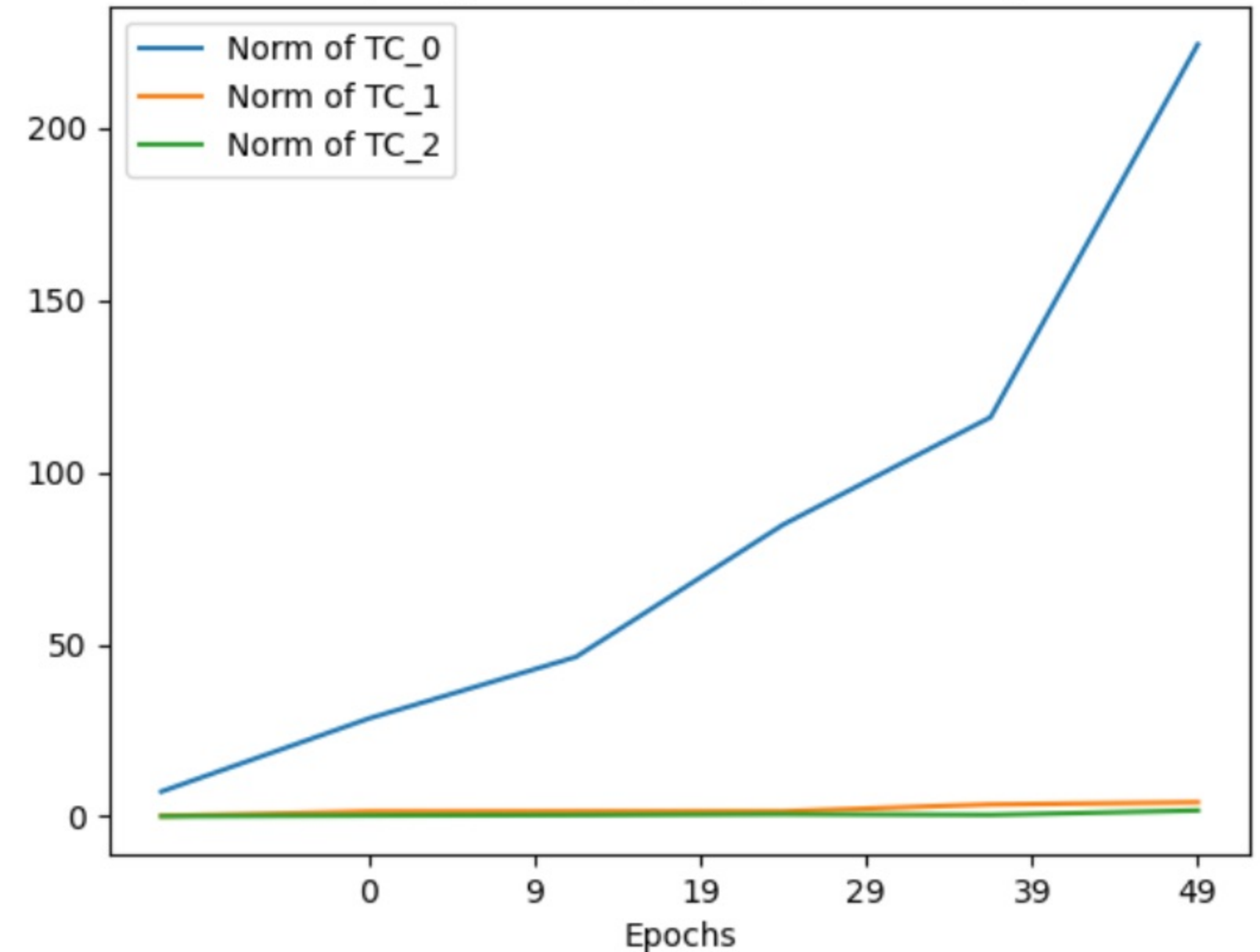
TC-Norm



# CUSTOM 6-LAYER MODEL

- Trained off of the CIFAR-10 Dataset
  - Trained to be 80% accurate
- Computed on the Hopper Compute Cluster

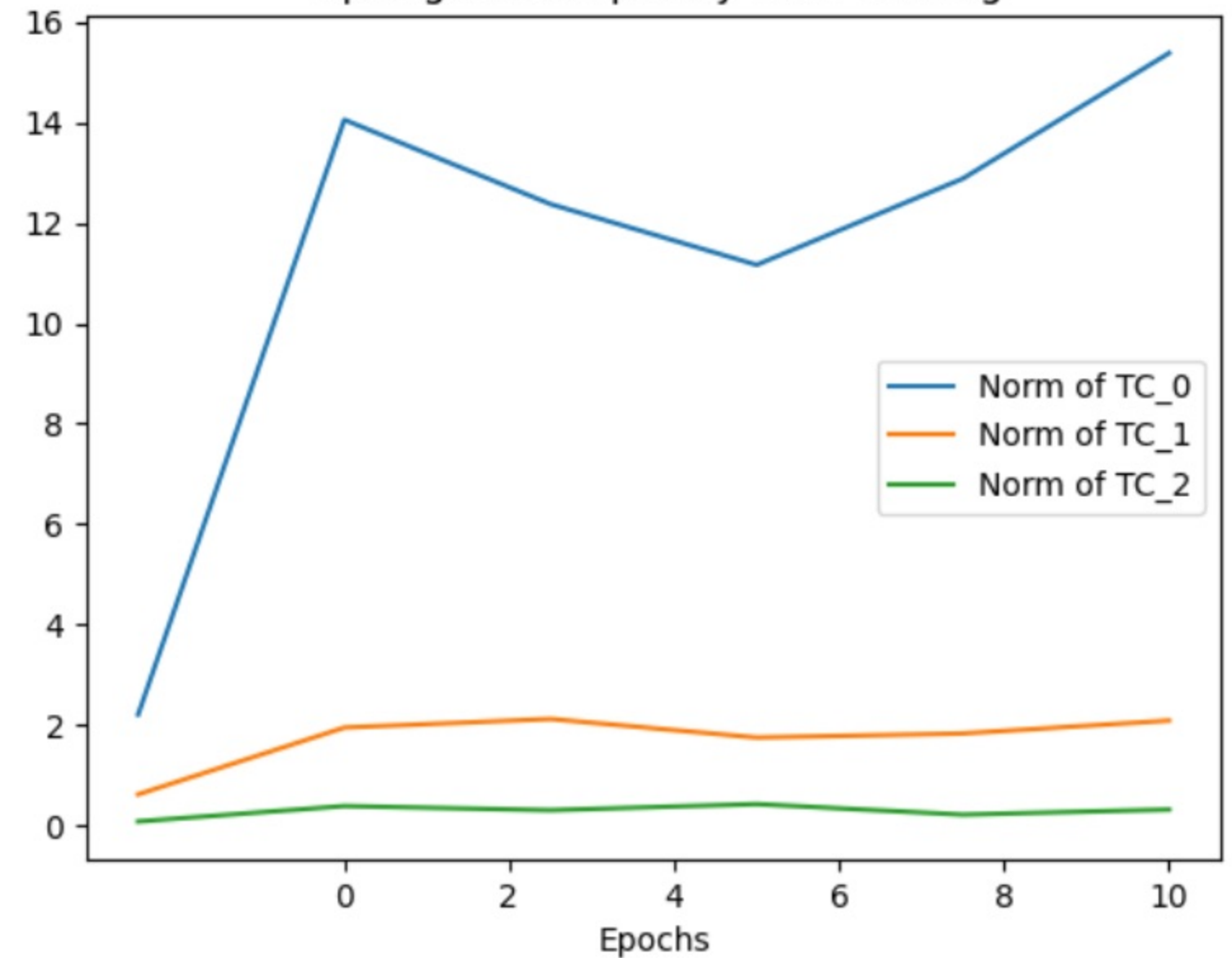
Topological Complexity Over Training



# RESNET-NN MODEL

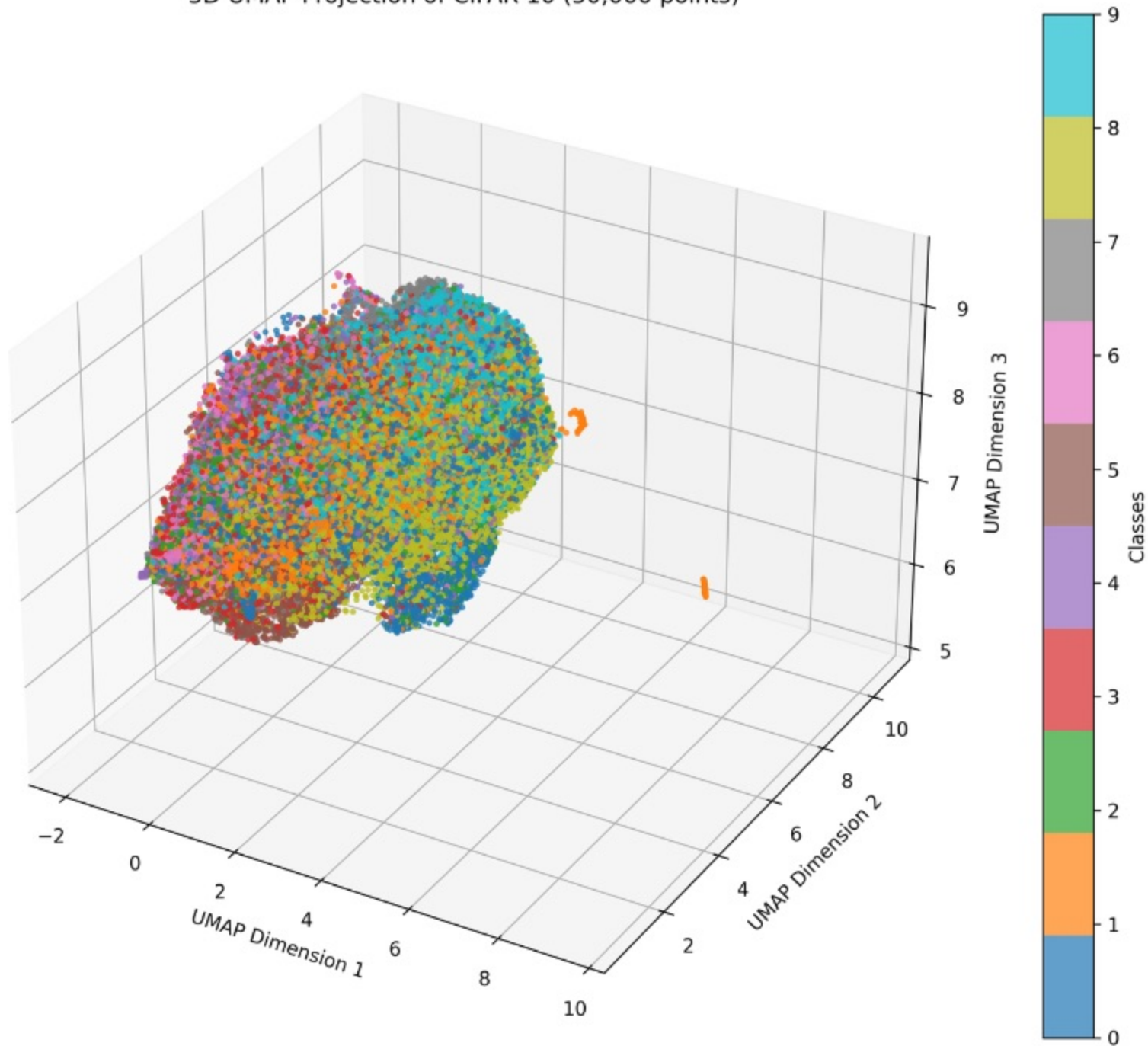
- Trained off of the CIFAR-10 Dataset
  - Trained to be 70% accurate
- Computed on the Hopper Compute Cluster

Topological Complexity Over Training

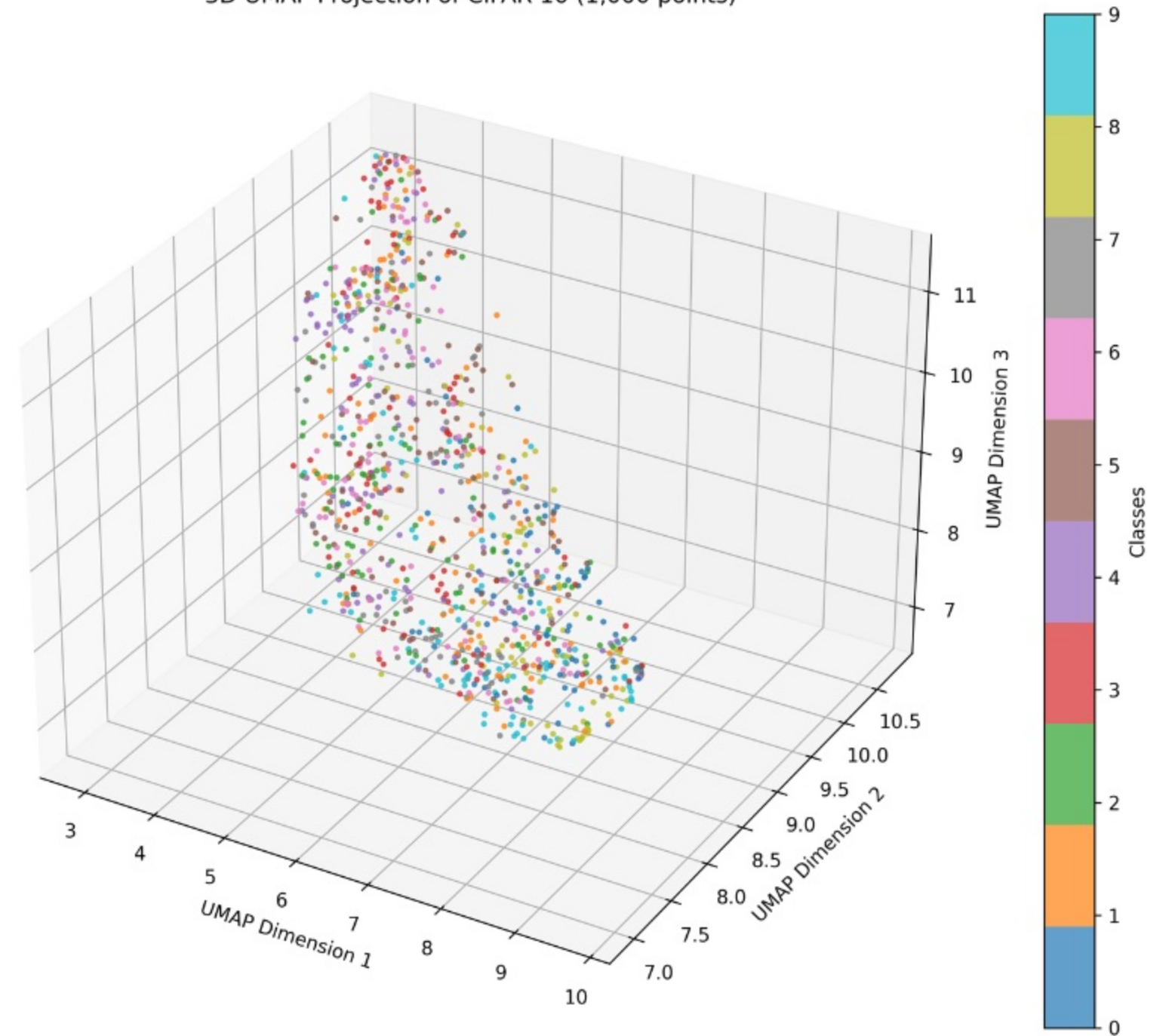


# LIMITATIONS

3D UMAP Projection of CIFAR-10 (50,000 points)



3D UMAP Projection of CIFAR-10 (1,000 points)



# ALL IN ALL

## Quick Recap

1. Training a NN

WHAT IS REALLY HAPPENING?

2. Simplicial Complexes

3. Persistence Diagrams

6. Experiments & Results

5. Activation Landscapes

4. Topological Complexity

# CITATIONS

1. Gregory Naitzat, Andrey Zhitnikov, and Lek-Heng Lim. Topology of deep neural networks. *The Journal of Machine Learning Research*, 21(1):7503–7542, 2020.
2. M. Wheeler, J. Bouza and P. Bubenik, "Activation Landscapes as a Topological Summary of Neural Network Performance," in *2021 IEEE International Conference on Big Data (Big Data)*, Orlando, FL, USA, 2021 pp. 3865-3870.
3. Giusti, C., & Lee, D. (2023b). Signatures, Lipschitz-free spaces, and paths of persistence diagrams. *SIAM Journal on Applied Algebra and Geometry*, 7(4), 828–866.  
<https://doi.org/10.1137/22m1528471>

# GITHUB



README



## Topology of Neural Networks

GitHub repository for the Topology of Neural Networks team at the Mason Experimental Geometry Laboratory.

<https://megl.science.gmu.edu/>

### Abstract

A neural network may be geometrically interpreted as nonlinear function that stretches and pulls apart data between vector spaces. If a dataset has interesting geometric or topological structure, one might ask how the structure of the data will change when passed through a neural network. This is achieved by explicitly viewing the dataset as a manifold and observing how the topological complexity (i.e., the sum of the Betti numbers) of the manifold changes as it passes through the activation layers of a neural network. The goal of this project is to study how the topological complexity of the data changes by tuning the hyper-parameters of the network. This enables us to possibly understand the relationship between the structural mechanics of the network and its performance.